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Total Number of Pages in This Submission

12

Application Number	09/923,035
Filing Date	August 6, 2001
First Named Inventor	John Nafeh
Art Unit	3692
Examiner Name	Elda G. Milef
Attorney Docket Number	PA3817US

ENCLOSURES (Check all that apply)

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Remarks

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Firm Name	Carr & Ferrell LLP	Customer No. 22830
Signature	<i>K. Brian Bathurst</i>	
Printed name	K. Brian Bathurst	
Date	April 11, 2008	Reg. No. 51,442

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**IN THE UNITED STATES PATENT AND TRADEMARK
OFFICE**

APPLICANTS: John Nafeh and Kenton K. Yee
SERIAL NO.: 09/923,035
FILING DATE: August 6, 2001
TITLE: Methods and Apparatus for Formulation, Initial Public or Private
Offering, and Secondary Market Trading of Risk Management
Contracts
EXAMINER: Milef, Elda G.
ART UNIT: 3692
CONF. NO.: 8692
ATTY.DKT.NO.: PA3817US

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RESPONSE AFTER FINAL REJECTION

Dear Examiner Milef:

In response to the Final Rejection mailed on February 11, 2008, the following
remarks are provided and Applicants respectfully request entry of the same: